

II. A. 1.

UNITED STATES POLICY AND THE BAO DAI REGIME

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II. A. 1. U.S. POLICY AND THE BAO DAI REGIME

1. The Bao Dai Solution

a. The French Predicament

French perceptions of the conflict which broke out in December, 1946, between their forces in Indochina and the Viet Minh forces of the Democratic Republic of Vietnam (DRV) began to alternate between boundless optimism and unbridled gloom. In May, 1947, Minister of War Coste-Floret announced in Paris that: "There is no military problem any longer in Indochina...the success of French arms is complete." 1/ Within six months, though ambitious armored, amphibious, and airborne drives had plunged into the northern mountains and along the Annam coast, Viet Minh sabotage and raids along lines of communication had mounted steadily, and Paris had come to realize that France had lost the military initiative. In the meantime, the French launched political forays similarly ambitious and equally unproductive. Leon Pignon, political adviser to the French Commander in Indochina, and later High Commissioner, wrote in January, 1947, that:

"Our objective is clear: to transpose to the field of Vietnamese domestic politics the quarrel we have with the Viet Minh, and to involve ourselves as little as possible in the campaigns and reprisals which ought to be the work of the native adversaries of that party." 2/

Within a month, an emissary journeyed into the jungle to deliver to Ho Chi Minh's government demands tantamount to unconditional surrender. About the same time, French representatives approached Bao Dai, the former Emperor of Annam, with proposals that he undertake to form a Vietnamese government as an alternate to Ho Chi Minh's. Being unable to force a military resolution, and having foreclosed meaningful negotiations with Ho, the French turned to Bao Dai as their sole prospect for extrication from the growing dilemma in Vietnam.

b. The Ha Long Bay Agreement, 1948

Bao Dai's mandarin court in Hue, Annam, had been little more than an instrument of French colonial policy, and -- after the occupation by Japan -- of Japanese policy. Bao Dai had become Emperor at the age of 12, in 1925, but did not actually ascend the throne until 1932, after education in France. In August, 1945, when the Viet Minh arrived in Hue, he abdicated in favor of Ho's Democratic Republic of Vietnam, and accepted the post of "Supreme Adviser" to the new state. In 1946, he left Vietnam, and went to Hong Kong. There, he found himself solicited not only by French representatives, but by the DRV, who sought him to act on their behalf with the French.

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Bao Dai attempted at first to maintain a central position between the two protagonists, but was soon persuaded to decline the Viet Minh overtures by non-Communist nationalists. A group of these, including members of the Cao Dai, Hoa Hao, Dong Minh Hoi, Dai Vet, and the VNQDD formed a National Union, and declared support for Bao Dai. One authority termed the National Union "a fragile coalition of discredited collaborators, ambitious masters of intrigue, incompetent sectarians, and a smattering of honest leaders without a following." Among the latter were Ngo Dinh Diem, who "for the first and only time, joined a party of which he was not the founder," and pledged to back the Emperor so long as he pursued independence for Vietnam. 3/ Now, having eliminated the Viet Minh support option, Bao Dai became more compliant in his discussions with the French, and the French became correspondingly stiffer in their attitude toward the Viet Minh. Yet, little came of the talks. On December 7, 1947, aboard a French warship in Ha Long Bay, Bao Dai signed an accord with the French, committing the French to Vietnamese political independence so minimally that it was promptly condemned not only by Diem, but also by more opportunistic colleagues in the National Union. Bao Dai, in what might have been a political withdrawal, removed himself from the developing intrigue, and fled to European pleasure centers for a four month jaunt which earned him the sobriquet "night club emperor."

The French, despite lack of cooperation from their elusive Vietnamese principal, sent diplomats to pursue Bao Dai and publicized their resolve "to carry on, outside the Ho Chi Minh Government, all activities and negotiations necessary for the restoration of peace and freedom in the Vietnamese countries" -- in effect, committing themselves to military victory and Bao Dai. 4/ French persistence eventually persuaded Bao Dai to return to Hong Kong, to endorse the formation of a Vietnamese national government prior to independence, and finally, to return to Vietnam as the Head of State. French negotiating pressures on him and the National Union included both spurious "leaks" of Franco-Viet Minh settlement talks, and further assurances of intentions to grant Vietnamese autonomy. On June 5, 1948, Bao Dai witnessed the signing of another Bay of Ha Long Agreement. Thereby, France publicly and "solemnly" recognized the independence of Vietnam -- but specifically retained control over foreign relations and the Army, and deferred transfer of other governmental functions to future negotiations; no authority was in fact transferred to the Vietnamese. Again Bao Dai retired to Europe, while in Hanoi the French assembled a transparently impotent semblance of native government. A second summer of war passed in 1948 without dispelling the military miasma over Indochina, and without making the "Bao Dai solution" any less repugnant among Vietnamese patriots. Opposition to it began to mount among French Leftists. This disenchantment, combined with a spreading acceptance of the strategic view that the Franco-Viet Minh war was a key anti-Communist struggle, influenced French leaders to liberalize their approach to the "Bao Dai solution."

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c. Elysee Agreement, 1949

On March 8, 1949, after months of negotiations, French President Auriol, in an exchange of letters with Bao Dai, reconfirmed independence for Vietnam as an Associated State of the French Union and detailed procedures for unifying Vietnam and placing it under Vietnamese administration. Nonetheless, in the Elysee Agreement, France yielded control of neither Vietnam's army nor its foreign relations, and again postponed arrangements for virtually all other aspects of autonomy. However, Bao Dai, apparently convinced that France was now sufficiently desperate in Indochina that it would have to honor the Agreements, declared that:

"...An era of reconstruction and renovation will open in Vietnam. The country will be given democratic institutions that will be called on primarily to approve the present agreement....Profound economic and social reforms will be instituted to raise the general standard of living and to promote social justice, which is the condition and guarantee of order.../I look for/ the union of all Vietnamese regardless of their political and religious tendencies, and the generous support of France on which I can count..." 5/

His public stance notwithstanding, Bao Dai delayed his return to Vietnam until a Cochinchinese Assembly had been elected (albeit in a farce of an election), and did not proceed to Saigon until the French Assembly had approved Cochinchina's joining the rest of Vietnam. In late June, 1949, Vietnam was legally united under Bao Dai, but the related alteration of administrative functions was slow, and usually only pro forma; no genuine power or authority was turned over to the Vietnamese. The State of Vietnam became a camouflage for continued French rule in Indochina. As Bao Dai himself characterized the situation in 1950, "What they call a Bao Dai solution turned out to be just a French solution....The situation in Indochina is getting worse every day..." 6/

d. Bao Dai's Governments

The unsavory elements of the coalition supporting Bao Dai dominated his regime. Ngo Dinh Diem and a few other upright nationalists refused high government posts, and withdrew their support from Bao Dai when their expectations of autonomy were disappointed. Diem's public statement criticized the probity of those who did accept office:

"The national aspirations of the Vietnamese people will be satisfied only on the day when our nation obtains the same political regime which India and Pakistan enjoy... I believe it is only just to reserve the best posts in the new Vietnam for those who have deserved best of the country; I speak of those who resist..." 7/

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However, far from looking to the "resistance," Bao Dai chose his leaders from among men with strong identification with France, often men of great and dubious wealth, or with ties with the sub-worlds of French neo-mercantilism and Viet vice. None commanded a popular following. General Georges Revers, Chief of Staff of the French Army, who was sent to Vietnam to appraise the situation in May and June, 1949, wrote that:

"If Ho Chi Minh has been able to hold off French intervention for so long, it is because the Viet Minh leader has surrounded himself with a group of men of incontestable worth... [Bao Dai, by contrast, had] a government composed of twenty representatives of phantom parties, the best organized of which would have difficulty in rallying twenty-five adherents..." 8/

Bao Dai himself did next to nothing to make his government either more representative or more efficient. He divided his time among the pleasures of the resort towns of Dalat, Nha Trang, and Banmethuout, and for all practical purposes, remained outside the process of government.

An American diplomat, serving in Vietnam at the time who knew Bao Dai well, characterized him in these terms:

"Bao Dai, above all, was an intelligent man. Intellectually, he could discuss the complex details of the various agreements and of the whole involved relationship with France as well as or better than anyone I knew. But he was a man who was crippled by his French upbringing. His manner was too impassive. He allowed himself to be sold by the French on an erroneous instead of a valid evolutionary concept, and this suited his own temperament. He was too congenial, and he was almost pathologically shy, which was one reason he always liked to wear dark glasses. He would go through depressive cycles, and when he was depressed, he would dress himself in Vietnamese clothes instead of European ones, and would mince no words about the French. His policy, he said to me on one of these dour occasions, was one of 'grignotage,' or 'nibbling,' and he was painfully aware of it. The French, of course, were never happy that we Americans had good relations with Bao Dai, and they told him so. Unfortunately, they also had some blackmail on him, about his relationship with gambling enterprises in Saigon and his love of the fleshpots." 9/

Whatever his virtues, Bao Dai was not a man who could earn the fealty of the Vietnamese peasants. He could not even hold the loyalty of honest nationalists, one of whom, for example, was Dr. Phan Quang Dan -- a prominent and able non-Communist leader and early supporter of the "solution,"

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and a personal friend of Bao Dai -- (Dr. Dan later was the opposition leader of the Diem era). Dr. Dan reported a touching conversation with Bao Dai's mother in which she described her son at a loss to know whom to trust, and heartsick at the atmosphere of hostility which surrounded him. 10/ Yet Dr. Dan resigned as Bao Dai's Minister of Information over the Elysee Agreement, and, though he remained close to the Emperor, would not reassume public office for him. Bao Dai himself furnished an apt description of his political philosophy which may explain why he failed to capture the hearts of either beleaguered farmers or serious political leaders -- neither of whom could stomach "nibbling" when revolution was required. Said Bao Dai:

"To practice politics is like playing a game, and I have always considered life a game." 11/

e. The Pau Negotiations, 1950

Yet Bao Dai did work at pressing the French. French officials in fact complained to an American writer that Bao Dai spent too much of his time on such pursuits:

"He has concentrated too much on getting what he can from us instead of building up his support among the people of the country...History will judge if he did right in putting so much stress on that..." 12/

From late June, 1950, until the end of November, Bao Dai stayed close to the series of conferences in Pau, France, designed to arrange the transfer to the Vietnamese of the services of immigration, communications, foreign trade, customs, and finances. The issue of the finance service was a particularly thorny one, involving as it did lucrative foreign exchange controls. While the French did eventually grant significant concessions to the Vietnamese, Laotians, and Cambodians in each area discussed, they preserved "rights of observation" and "intervention" in matters that "concerned the French Union as a whole." Indeed, the French assured themselves full access to government information, license to participate in all government decisions, and little reduction in economic benefits. 13/

Some French commentators viewed Pau as an unmitigated disaster and the assurance of an early French demise in Indochina. As one writer put it:

"By accepting the eventual restriction of trade within the French Union, by losing all effective authority over the issuance of money, by renouncing control over foreign trade, by permitting a system of controlled prices for exports and imports, we have given the Associated States all the power they need if they wish to assure the ruin of our enterprises and compel their withdrawal without in any way molesting our compatriots." 14/

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But a contemporary Vietnamese critic took a quite different view:

"All these conventions conserve in Indochina a privileged position for French capital, supported by the presence of a powerful fleet and army. Even if no one talks any more of an Indochinese Federation, it is still a federalism both administrative and economic (Monetary Union, Customs Union, Communications Union, etc.) which co-ordinates the various activities of the three Associated States. France always exercises control through the representatives she has in all the organs of planning or of federal surveillance, and through what is in effect the right of veto, because the president or the secretary general of these committees is always elected by joint decision of the four governments and, further, because most of the decisions of the committees are made by unanimous agreement."
(Quoted in same reference above)

Bao Dai's delegates were, however, generally pleased with the outcome of Pau. His Prime Minister, Tran Van Huu declared as he signed the conventions that "our independence is now perfect." But to the ordinary Vietnamese, to honest Frenchmen, and to the Americans, Tran Van Huu was proved dramatically wrong.

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2. U.S. Policy Towards Bao Dai

a. Qualified Approval, 1947-1950

The "Bao Dai solution" depended on American support. During the 1950 negotiations in Pau, France, Bao Dai's Prime Minister Tran Van Huu was called back to Indochina by a series of French military reverses in Tonkin. Tran Van Huu seized the occasion to appeal to the United States "as the leading democratic nation," and hoped that the U.S. would

"... bring pressure to bear on France in order to achieve democratic freedom. We want the right to decide our own affairs for ourselves." 15/

Tran demanded the Elysee Agreement be superseded by genuine autonomy for Vietnam:

"It is not necessary for young men to die so that a French engineer can be director of the port of Saigon. Many people are dying every day because Viet Nam is not given independence. If we had independence the people would have no more reason to fight."

Tran's addressing the U.S. thus was realistic, if not judicious, for the U.S. had already become involved in Indochina as one part of a troubled triangle with France and Bao Dai's regime. Indeed, there had been an American role in the "Bao Dai solution" from its inception. Just before the Ha Long Bay Agreements, the French initiative had received some support from a December, 1947, Life magazine article by William C. Bullitt, former U.S. Ambassador to France. Bullitt argued for a policy aimed at ending "the saddest war" by winning the majority of Vietnamese nationalists away from Ho Chi Minh and from the Communists through a movement built around Bao Dai. 16/ Bullitt's views were widely accepted in France as a statement of U.S. policy, and a direct endorsement, and promise of U.S. aid, for Bao Dai. Bao Dai, whether he accepted the Bullitt canard or not, seemed to sense that the U.S. would inevitably be drawn into Southeast Asia, and apparently expected American involvement to be accompanied by U.S. pressure on France on behalf of Vietnamese nationalism. But the U.S., though it appreciated France's dilemma, was reluctant initially to endorse the Bao Dai solution until it became a reality. The following State Department messages indicate the U.S. position:

July 10, 1948 (Paris 3621 to State):

"... France is faced with alternatives of unequivocally and promptly approving principle [of] Viet independence within French union and [the] union [of the] three parts of Vietnam or losing Indochina."

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July 14, 1948 (State 2637 to Paris):

"... Once Bay of Ha Long Agreement together with change in status of Cochinchina is approved, Department would be disposed to consider lending its support to extent of publicly approving French Government's action as forward looking step toward settlement of troubled situation in Indochina and toward realization of aspirations Vietnamese people. It appears to Department that above stated U.S. approval would materially assist in strengthening hands of nationalists as opposed to communists in Indochina..."

August 30, 1948 (State 3368 to Paris):

"Department appreciates difficulties facing any French Government taking decisive action vis-a-vis Indochina, but can only see steadily deteriorating situation unless there is more positive approval Bay of Ha Long Agreement, enactment legislation or action permitting change Cochinchina status, and immediate commencement formal negotiations envisaged that Agreement. Department believes that nothing should be left undone which will strengthen truly nationalist groups in Indochina and induce present supporters of the Viet Minh to come to the side of that group. No such inducement possible unless that group can show concrete evidence that French are prepared to implement promptly creation Vietnamese free state which is associated with the French Union and with all attributes free state..."

January 17, 1949 (State 145 to Paris):

"While Department desirous French coming to terms with Bao Dai or any truly nationalist group which has reasonable chance winning over preponderance of Vietnamese, we cannot at this time irrevocably sic commit U.S. to support of native government which by failing develop appeal among Vietnamese might become virtually puppet government, separated from people, and existing only by presence French military forces..."

The Elysee Agreement took place in March, 1949. At this juncture, the fall of China obtruded, and the U.S. began to view the "Bao Dai solution" with a greater sense of urgency:

May 10, 1949 (State 77 to Saigon):

"Assumption ... Department desires the success Bao Dai experiment entirely correct. Since there appears to be no other alternative to established Commie pattern in Vietnam, Department considers no effort should be spared by France, other Western powers, and non-Commie Asian nations to assure experiment best chance succeeding.

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"At proper time and under proper circumstances Department will be prepared /to/ do its part by extending recognition /to the/ Bao Dai Government and by exploring /the/ possibility of complying with any request by such a Government for U.S. arms and economic assistance. /It/ must be understood, however, /that/ aid program this nature would require Congressional approval. Since U.S. could scarcely afford backing [a] government which would have color /of/, and be likely /to/ suffer the fate of, [a] puppet regime, it must first be clear that France will offer all necessary concessions to make Bao Dai solution attractive to nationalists.

"This is [a] step of which French themselves must see urgency /and/ necessity /in/ view possibly short time remaining before Commie successes /in/ China are felt /in/ Indochina. Moreover, Bao Dai Government must through own efforts demonstrate capacity /to/ organize and conduct affairs wisely so as to ensure maximum opportunity of obtaining requisite popular support, inasmuch as /any/ government created in Indochina analogous /to the/ Kuomintang would be foredoomed failure.

"Assuming essential French concessions are forthcoming, best chance /of/ success /for/ Bao Dai would appear to be in persuading Vietnamese nationalists:

- (1) their patriotic aims may be realized promptly through French-Bao Dai agreement
- (2) Bao Dai government will be truly representative even to the extent of including outstanding non-Commie leaders now supporting Ho, and
- (3) Bao Dai solution /is the/ only means /of/ safeguarding Vietnam from aggressive designs /of the/ Commie Chinese."

Through 1949, the southward march of Mao's legions continued, and the Viet Minh were obviously preparing to establish relations with them.

b. Recognition, 1950

The Elysee Agreements were eleven months old before the U.S. considered that France had taken the concrete steps toward Vietnamese autonomy which the U.S. had set as conditions for recognizing Bao Dai. In late January, 1950, events moved swiftly. Ho Chi Minh announced that his was the "only legal government of the Vietnam people" and indicated DRV willingness to cooperate with any nation willing to recognize it on the basis of "equality and mutual respect of national sovereignty and territory." Mao responded promptly with recognition, followed by Stalin.

In France there was an acrimonious debate in the National Assembly between leftist advocates of immediate truce with the Viet Minh and government supporters of the Elysee Agreement to proceed with the Bao Dai solution. René Plevén, Minister of National Defense, declared that: 17/

"It is necessary that the French people know that at the present time the only true enemy of peace in Viet Nam is the Communist Party. Because members of the Communist Party know that peace in Indochina will be established by the policy of independence that we are following."

("Peace with Viet Nam! Peace with Viet Nam!" shouted the Communists.)

Jean Letourneau arose to assert that:

"It is not at all a question of approving or disapproving a government; we are very far beyond the transitory life of a government in an affair of this gravity. It is necessary that, on the international level, the vote that takes place tonight reveals truly the major importance that this event should have in the eyes of the entire world."

Frédéric Dupont said:

"The Indochina war has always been a test of the French Union before international Communism. But since the arrival of the Chinese Communists on the frontier of Tonkin, Indochina has become the frontier of Western civilization and the war in Indochina is integrated into the cold war."

Premier Georges Bidault was the last speaker:

"The choice is simple. Moreover there is no choice."

The National Assembly vote on January 29, 1950, was 396 to 193. From the extreme left there were cries of "Down with the war!" and Paul Coste-Floret replied: "Long live peace." On February 2, 1950, France's formal ratification of the independence of Vietnam was announced.

The U.S. assessment of the situation, and its action, is indicated in the following:

(telegram reproduced on pages A-15 and A-16).

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DEPARTMENT OF STATE

Washington

February 2, 1950

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MEMORANDUM FOR THE PRESIDENT

Subject: U.S. Recognition of Vietnam,
Laos and Cambodia

1. The French Assembly (Lower House) ratified on 29 January by a large majority (396 - 193) the bill which, in effect, established Vietnam, Laos and Cambodia as autonomous states within the French Union. The opposition consisted of 181 Communist votes with only 12 joining in from other parties. The Council of the Republic (Senate) is expected to pass the bills by the same approximate majority on or about February 3. President Auriol's signature is expected to follow shortly thereafter.
2. The French legislative and political steps thus taken will transform areas which were formerly governed as Protectorates or Colonies into states within the French Union, with considerably more freedom than they enjoyed under their prior status. The French Government has indicated that it hopes to grant greater degrees of independence to the three states as the security position in Indochina allows, and as the newly formed governments become more able to administer the areas following withdrawal of the French.
3. Within Laos and Cambodia there are no powerful movements directed against the governments which are relatively stable. However, Vietnam has been the battleground since the end of World War II of conflicting political parties and military forces. Ho Chi Minh, who under various aliases, has been a communist agent in various parts of the world since 1925 and was able to take over the anti-French nationalist movement in 1945. After failing to reach agreement with the French regarding the establishment of an autonomous state of Vietnam, he withdrew his forces to the jungle and hill areas of

Vietnam.

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Vietnam and has harassed the French ever since. His followers who are estimated at approximately 75,000 armed men, with probably the same number unarmed. His headquarters are unknown.

The French counter efforts have included, on the military side, the deployment of approximately 130,000 troops, of whom the approximately 50,000 are local natives serving voluntarily, African colonials, and a hard core made up of French troops and Foreign Legion units. Ho Chi Minh's guerrilla tactics have been aimed at denying the French control of Vietnam. On March 8, 1949 the French President signed an agreement with Bao Dai as the Head of State, granting independence within the French Union to the Government of Vietnam. Similar agreements were signed with the King of Laos and the King of Cambodia.

Recent developments have included Chinese Communist victories bringing those troops to the Indochina border; recognition of Ho Chi Minh as the head of the legal Government of Vietnam by Communist China (18 January) and by Soviet Russia (30 January).

4. Recognition by the United States of the three legally constituted governments of Vietnam, Laos and Cambodia appears desirable and in accordance with United States foreign policy for several reasons. Among them are: encouragement to national aspirations under non-Communist leadership for peoples of colonial areas in Southeast Asia; the establishment of stable non-Communist governments in areas adjacent to Communist China; support to a friendly country which is also a signatory to the North Atlantic Treaty; and as a demonstration of displeasure with Communist tactics which are obviously aimed at eventual domination of Asia, working under the guise of indigenous nationalism.

Subject to your approval, the Department of State recommends that the United States of America extend recognition to Vietnam, Laos and Cambodia, following ratification by the French Government.

(signed) DEAN ACHESON

Approved

9(signed)

Harry S. Truman

February 3, 1950

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c. U.S. Aid to Indochina

On February 16, 1950, France requested U.S. military and economic assistance in prosecuting the Indochina War. 18/ The Secretary of Defense in a Memorandum for the President on March 6 stated that:

"The choice confronting the United States is to support the legal governments in Indochina or to face the extension of Communism over the remainder of the continental area of Southeast Asia and possibly westward..." 19/

The same month, the State Department dispatched an aid survey mission under R. Allen Griffin to Indochina (and to Burma, Indonesia, Thailand, and Malaya). The Griffin Mission proposed (inter alia) aid for the Bao Dai government, since the State of Vietnam was considered:

"... not secure against internal subversion, political infiltration, or military aggression.

Aid view "The objective of each program is to assist as much as possible in building strength, and in so doing ... to assure the several peoples that support of their governments and resistance to communist subversion will bring them direct and tangible benefits and well-founded hope for an increase in living standards. Accordingly, the programs are of two main types: (1) technical and material aid to essential services and (2) economic rehabilitation and development, focused primarily on the provision of technical assistance and material aid in developing agricultural and industrial output. ... These activities are to be carried on in a way best calculated to demonstrate that the local national governments are able to bring benefits to their own people and thereby build political support, especially among the rural population....

"The aims of economic assistance to Southeast Asia ... are to reinforce the non-Communist national governments in that region by quickly strengthening and expanding the economic life of the area, improve the conditions under which its people live, and demonstrate concretely the genuine interest of the United States in the welfare of the people of Southeast Asia." 20/

In a strategic assessment of Southeast Asia in April, 1950, the JCS recommended military assistance for Indochina, provided:

"... that United States military aid not be granted unconditionally; rather that it be carefully controlled and that the aid program be integrated with political and economic programs..." 21/

On May 1, 1950, President Truman approved \$10 million for urgently needed military assistance items for Indochina. 22/ The President's

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decision was taken in the context of the successful amphibious invasion of Nationalist-defended Hainan by a Communist Chinese army under General Lin Piao -- with obvious implications for Indochina, and for Taiwan. One week later, on May 8, the Secretary of State announced U.S. aid for "the Associated States of Indochina and to France in order to assist them in restoring stability and permitting these states to pursue their peaceful and democratic development." 23/ Sixteen days later, Bao Dai's government and France were notified on May 24 of the U.S. intention to establish an economic aid mission to the Associated States. As the North Korean Army moved southward on June 27, 1950, President Truman announced that he had directed "acceleration in the furnishing of military assistance to the forces of France and the Associated States in Indochina..." 24/

The crucial issue presented by the American decision to provide aid to Indochina was who should be the recipient -- Bao Dai or France -- and, hence, whose policies would U.S. aid support?

d. French Intransigence

While the U.S. was deliberating over whether to provide economic and military assistance to Indochina in early 1950, negotiations opened at Pau, France, among France and the Associated States to set the timing and extent of granting autonomy. Had these talks led to genuine independence for Bao Dai's regime, the subsequent U.S.-French relationship would probably have been much less complex and significantly less acerbic. As it was, however, the Pau accords led to little more independence than had the Ha Long Bay or Elysee Agreements. Moreover, France's reluctance to yield political or economic authority to Bao Dai was reinforced by its proclivity to field strong-willed commanders, suspicious of the U.S., determined on a military victory, and scornful of the Bao Dai solution. General Marcel Carpentier, Commander in Chief when the French applied for aid, was quoted in the New York Times on March 9, 1950, as follows:

"I will never agree to equipment being given directly to the Vietnamese. If this should be done I would resign within twenty-four hours. The Vietnamese have no generals, no colonels, no military organization that could effectively utilize the equipment. It would be wasted, and in China the United States has had enough of that." 25/

(1) 1950-1951: De Lattre and "Dynamisme"

Carpentier's successor, High Commissioner-Commander in Chief General Jean de Lattre de Tassigny, arrived in December, 1950, following the severe setback of the autumn. De Lattre electrified the discouraged French forces like General Ridgway later enheartened U.S. forces in Korea. De Lattre saw himself as leading an anti-communist crusade. He calculated that he could win a decisive victory within fifteen months in Vietnam, and "save it from Peking and Moscow." He deprecated the idea that the French were still motivated by colonialism, and even told one U.S. newsman that France fought for the West alone:

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"We have no more interest here... We have abandoned all our colonial positions completely. There is little rubber or coal or rice we can any longer obtain. And what does it amount to compared to the blood of our sons we are losing and the three hundred and fifty million francs we spend a day in Indochina? The work we are doing is for the salvation of the Vietnamese people. And the propaganda you Americans make that we are still colonialists is doing us tremendous harm, all of us -- the Vietnamese, yourselves, and us." 26/

Moreover, De Lattre was convinced that the Vietnamese had to be brought into the fight. In a speech -- "A Call to Vietnamese Youth" -- he declared:

"This war, whether you like it or not, is the war of Vietnam for Vietnam. And France will carry it on for you only if you carry it on with her.... Certain people pretend that Vietnam cannot be independent because it is part of the French Union. Not true! In our universe, and especially in our world of today, there can be no nations absolutely independent. There are only fruitful interdependencies and harmful dependencies.... Young men of Vietnam, to whom I feel as close as I do to the youth of my native land, the moment has come for you to defend your country." 27/

Yet, General De Lattre regarded U.S. policy vis-a-vis Bao Dai with grave misgivings. Americans, he held, afflicted with "missionary zeal," were "fanning the fires of extreme nationalism... French traditionalism is vital here. You cannot, you must not destroy it. No one can simply make a new nation overnight by giving out economic aid and arms alone." 28/ As adamantly as Carpentier, De Lattre opposed direct U.S. aid for Vietnamese forces, and allowed the Vietnamese military little real independence.

Edmund A. Gullion, U.S. Minister Counselor in Saigon from 1950 on, faulted De Lattre on his inability to stimulate in the Vietnamese National Army either the elan vital or dynamisme he communicated to the rest of the French Expeditionary Corps:

"... It remained difficult to inculcate nationalist ardor in a native army whose officers and non-coms were primarily white Frenchmen... The Vietnamese units that went into action were rarely unsupported by the French. American contact with them was mainly through the French, who retained exclusive responsibility for their training. We felt we needed much more documentation than we had to assess the army's true potential. We needed battalion-by-battalion reports on the performance of the Vietnamese in training as well as in battle and a close contact with intelligence and command echelons, and we never got this. Perhaps the most significant and saddest manifestation of the French failure to create a really independent Vietnamese Army

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that would fight in the way de Lattre meant was the absence, at Dienbienphu, of any Vietnamese fighting elements. It was a French show." 29/

Gullion is not altogether correct with respect to Dien Bien Phu; nonetheless, statistics on the ethnic composition of the defending garrison do reveal the nature of the problem. The 5th Vietnamese Parachute Battalion was dropped to reinforce the garrison so that as of May 6, 1954, the troops at Dien Bien Phu included: 30/

Garrison of Dien Bien Phu

	<u>Officers</u>	<u>NCO's</u>	<u>EM's</u>	<u>Totals</u>
Vietnamese	<u>11</u>	<u>270</u>	<u>5,119</u>	<u>5,480</u>
Total	393	1,666	13,026	15,105
Viet % of Total	2.8	16.2	39.2	36.2

Thus, the Vietnamese comprised more than a third of the fighting forces (and nearly 40% of the enlisted troops); but among the leaders, they provided one-sixth of the non-commissioned officers and less than 3% of the officers.

The paucity of Viet officers at Dien Bien Phu reflected the general condition of the National Army: as of 1953, there were 2,600 native officers, of whom only a handful held rank above major, compared to 7,000 French officers in a force of 150,000 Vietnamese troops. 31/

(2) 1951-1953: Letourneau and "Dictatorship"

De Lattre's successor as High Commissioner, Jean Letourneau, was also the French Cabinet Minister for the Associated States. Letourneau was sent to Indochina to assume the same power and privilege in the "independent" State of Vietnam that any of France's Governor Generals had ever exercised from Saigon's Norodom Palace. In May, 1953, a French Parliamentary Mission of Inquiry accused the Minister-High Commissioner of "veritable dictatorship, without limitation or control":

"The artificial life of Saigon, the temptations of power without control, the security of a judgment which disdains realities, have isolated the Minister and his entourage and have made them insensible to the daily tragedy of the war ...

"It is no longer up to us to govern, but to advise. The big thing was not to draw up plans irresponsibly, but to carry on daily a subtle diplomacy. In Saigon our representatives have allowed themselves to be inveigled into the tempting game of power and intrigue.

"Instead of seeing the most important things and acting on them, instead of making on the spot investigations, of looking for inspiration in the village and in the ricefield, instead of informing themselves and winning the confidence of the most humble people, in order to deprive the rebels of their best weapon, the Norodom Palace clique has allowed itself the luxury of administering à la française and of reigning over a country where revolution is smouldering ...

"The press has not the right of criticism. To tell the truth, it has become official, and the principal newspaper in Saigon is at the disposition of the High Commissariat. Letters are censored. Propaganda seems to be issued just to defend the High Commissariat. Such a regime cannot last, unless we are to appear as people who are determined not to keep their promises." 32/

The Parliamentary Mission described Saigon: "where gambling, depravity, love of money and of power finish by corrupting the morale and destroying will-power ..."; and the Vietnamese government: "The Ministers [of the Bao Dai regime] appear in the eyes of their compatriots to be French officials ..." The report did not hesitate to blame the French for Vietnamese corruption:

"It is grave that after eight years of laissez-aller and of anarchy, the presence in Indochina of a resident Minister has not been able to put an end to these daily scandals in the life in regard to the granting of licenses, the transfer of piastres, war damages, or commercial transactions. Even if our administration is not entirely responsible for these abuses, it is deplorable that one can affirm that it either ignores them or tolerates them." 33/

Commenting on this report, an influential French editor blamed the "natural tendency of the military proconsulate to perpetuate itself" and "certain French political groups who have found in the war a principal source of their revenues ... through exchange operations, supplies to the expeditionary corps and war damages ..." 34/ He concluded that:

"The generally accepted theory is that the prolongation of the war in Indochina is a fatality imposed by events, one of those dramas in history which has no solution. The theory of the skeptics is that the impotence or the errors of the men responsible for our policy in Indochina have prevented us from finding a way out of this catastrophic enterprise. The truth is that the facts now known seem to add up to a lucid plan worked out step by step to eliminate any possibility of negotiation in Indochina in order to assure the prolongation without limit of the hostilities and of the military occupation." 35/

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e. Bao Dai, Attentiste

Despite U.S. recognition of the grave imperfections of the French administration in Vietnam, the U.S. was constrained to deal with the Indochina situation through France both by the overriding importance of its European policy and by the impotence and ineptitude of the Bao Dai regime. The U.S. attempted to persuade Bao Dai to exercise more vigorous leadership, but the Emperor chose differently. For example, immediately after the Pau negotiations, the Department of State sent these instructions to Edmund Gullion:

(telegram reproduced on pages A-23 thru A-25)

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OUTGOING TELEGRAM

DEPARTMENT OF STATE

SECRET

OCT 18 1950
2 P.M.

PRIORITY

AMLEGATION

SAIGON

384

DEPT wishes to have FOL MSG delivered to Bao Dai personally by MIN IMMED after Chief of State's arrival in Saigon. It SHLD be delivered informally without submission written text with sufficient emphasis to leave no doubt in Emperor's mind that it represents DEPTS studied opinion in matter now receiving ATTN highest auths US GOVT. Begin MSG:

Bao Dai will arrive in Saigon at moment when Vietnam is facing grave crisis outcome of which may decide whether country will be permitted develop independence status or pass in near future to one of Sino-Soviet dominated satellite, a new form of colony immeasurably worse than the old from which Vietnam has so recently separated herself.

The US GOVT is at present moment taking steps to increase the AMT of aid to FR Union and ASSOC States in their effort to defend the territorial integrity of IC and prevent the incorporation of the ASSOC States within the COMMIE-dominated bloc of slave states but even the resources of US are strained by our present UN commitments in Korea, the need for aid in the defense of Western Europe and our own rearmament program. We sometimes find it impossible to furnish aid as we WLD wish in a given AMT at a given time and in a given place.

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Leadership of Vietnam GOVT during this crucial period is a factor of preponderant importance in deciding ultimate outcome. GOVT must display unusually aggressive leadership and courage before a discouraged people, distraught and floundering in the wake of years of civil war. Lesser considerations concerning the modalities of relations between the States of the FR Union and the REP of FR must, for instance, be at least temporarily laid aside in face of serious threat to very existence of Vietnam as autonomous state, within FR Union or otherwise.

We are aware (as is Bao Dai) that present Vietnamese GOVT is so linked with person of Chief of State that leadership and example provided by latter takes on extraordinary importance in determining degree of efficiency in functioning of GOVT. Through circumstances of absence in FR of Bao Dai and other Vietnamese leaders for prolonged period, opportunity for progress in assumption of responsibilities from FR and extension authority and influence of GOVT with people was neglected. Many people, including great number AMERS, have been unable understand reasons for Emperor's GTE prolonged holiday UNQTE on Riviera and have misinterpreted it as an indication of lack of patriotic attachment to his role of Chief of State. DEPT is at least of opinion that his absence did not enhance the authority and prestige of his GOVT at home.

Therefore, DEPT considers it imperative Bao Dai give Vietnamese people evidence his determination personally take up reins of state and lead his country into IMMED and energetic opposition COMMIE menace. Specifically he SHLD embark upon IMMED program of visits to all parts Vietnam making numerous speeches and public appearances in the process. Chief of State SHLD declare his determination plunge into job of rallying people to support of GOVT and opposition to VM IMMED upon arrival Saigon. He SHLD announce US, FR support for formation NATL armies and his own intention assume role Commander in Chief. He SHLD take full advantage of FR official declaration of intention to form NATL armies (confirmed yesterday by MIN ASSC States Letourneau) and set up precise plan for such formation IMMED.

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Finally, it SHLD be tactfully suggested that any further display procrastination in facing realities in the form prolonged periods of seclusion at Dalat or otherwise WLD confirm impressions of those not as convinced of Emperor's seriousness of purpose as DEPT and LEG are and raise questions of the wisdom of continuing to support a Vietnamese GOVT which proves itself incapable of exercising the autonomy acquired by it at such a high price. End of MSG.

Endeavor obtain private interview soonest possible after arrival for DEPT regards timing as of prime importance. Simultaneously or IMMEDIATELY inform Letourneau and Pignon of action. Saigon advise Paris in advance to synchronize informing FONOFF

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Whatever Bao Dai's response -- probably polite and obscure -- he did not act on the U.S. advice. He subsequently told Dr. Phan Quang Dan, aboard his imperial yacht, that his successive governments had been of little use, and added that it would be dangerous to expand the Vietnamese Army because it might defect en masse and go to the Viet Minh.

"I could not inspire the troops with the necessary enthusiasm and fighting spirit, nor could Prime Minister Huu... Even if we had an able man, the present political conditions would make it impossible for him to convince the people and the troops that they have something worth while to fight for..." 36/

Dr. Dan agreed that the effectiveness of the National Army was a central issue; he pointed out that there were but three Viet generals, none of whom had ever held operational command, and neither they nor the 20 colonels or lieutenant colonels could exercise initiative of any sort. Dr. Dan held that: "The Vietnamese Army is without responsible Vietnamese leaders, without ideology, without objective, without enthusiasm, without fighting spirit, and without popular backing." 37/ But it was very clear that Bao Dai did not propose to alter the conditions of his army except by the long, slow process of "nibbling" at French military prerogative. On other vital issues Bao Dai was no more aggressive. For all practical purposes, the Emperor, in his own fashion, like Dr. Dan and Ngo Dinh Diem, assumed the posture of the attentiste -- a spectator as the French and Americans tested their strength against each other, and against the Viet Minh.

f. The American Predicament

Among the American leaders who understood the vacuity of the Bao Dai solution, and recognized the pitfalls in French intransigence on genuine independence was the then Senator John F. Kennedy. Kennedy visited Vietnam in 1951 and evidently weighed Gullion's views heavily. In November, 1951, Kennedy declared that:

"In Indochina we have allied ourselves to the desperate effort of the French regime to hang on to the remnants of an empire. There is no broad general support of the native Vietnam Government among the people of that area." 38/

In a speech to the U.S. Senate in June, 1953, he pointed out that:

"Genuine independence as we understand it is lacking in Indochina ... local government is circumscribed in its functions ... the government of Vietnam, the state which is of the greatest importance in this area, lacks popular support, that the degree of military, civil, political, and economic control maintained by the French goes well beyond what is necessary to fight a war... It is because we want the war to be brought to a successful conclusion that we should insist

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on genuine independence... Regardless of our united effort, it is a truism that the war can never be successful unless large numbers of the people of Vietnam are won over from their sullen neutrality and open hostility to it and fully support its successful conclusion... I strongly believe that the French cannot succeed in Indochina without giving concessions necessary to make the native army a reliable and crusading force." 39/

Later, Kennedy criticized the French:

"Every year we are given three sets of assurances: first, that the independence of the Associated States is now complete; second, that the independence of the Associated States will soon be completed under steps 'now' being taken; and third, that military victory for the French Union forces is assured, or is just around the corner." 40/

Another American knowledgeable concerning the U.S.-French difficulties and with the Bao Dai solution was Robert Blum, who headed the economic aid program extended to the Bao Dai regime in 1950. General De Lattre viewed U.S. economic aid as especially pernicious, and told Blum that: "Mr. Blum, you are the most dangerous man in Indochina." 41/ De Lattre resented the American intrusion. "As a student of history, I can understand it, but as a Frenchman I don't like it." In 1952, Blum analyzed the Bao Dai-French-American triangle as follows:

"The attitude of the French is difficult to define. On the one hand are the repeated official affirmations that France has no selfish interests in Indochina and desires only to promote the independence of the Associated States and be relieved of the terrible drain of France's resources. On the other hand are the numerous examples of the deliberate continuation of French controls, the interference in major policy matters, the profiteering and the constant bickering and ill-feeling over the transfer of powers and the issues of independence... There is unquestionably a contradiction in French actions between the natural desire to be rid of this unpopular, costly and apparently fruitless war and the determination to see it through with honor while satisfying French pride and defending interests in the process. This distinction is typified by the sharp difference between the attitude toward General de Lattre in Indochina, where he is heralded as the political genius and military savior ... and in France, where he is suspected as a person who for personal glory is drawing off France's resources on a perilous adventure...

"It is difficult to measure what have been the results of almost two years of active American participation in the affairs of Indochina. Although we embarked upon a course of uneasy association with the 'colonialist'-tainted but

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indispensable French, on the one hand, and the indigenous, weak and divided Vietnamese, on the other hand, we have not been able fully to reconcile these two allies in the interest of a single-minded fight against Communism. Of the purposes which we hoped to serve by our actions in Indochina, the one that has been most successful has been the strengthening of the French military position. On the other hand, the Vietnamese, many of whom thought that magical solutions to their advantage would result from our appearance on the scene, are chastened but disappointed at the evidence that America is not omnipotent and not prepared to make an undiluted effort to support their point of view... Our direct influence on political and economic matters has not been great. We have been reluctant to become directly embroiled and, though the degree of our contribution has been steadily increasing, we have been content, if not eager, to have the French continue to have primary responsibility, and to give little, if any, advice." 42/

Blum concluded that:

"The situation in Indochina is not satisfactory and shows no substantial prospect of improving, that no decisive military victory can be achieved, that the Bao Dai government gives little promise of developing competence and winning the loyalty of the population ... and that the attainment of American objectives is remote." 43/

Shortly before his death in 1965, Blum held that a clash of French and U.S. interests was inevitable:

"We wanted to strengthen the ability of the French to protect the area against Communist infiltration and invasion, and we wanted to capture the nationalist movement from the Communists by encouraging the national aspirations of the local populations and increasing popular support of their governments. We knew that the French were unpopular, that the war that had been going on since 1946 was not only a nationalist revolt against them but was an example of the awakening self-consciousness of the peoples of Asia who were trying to break loose from domination by the Western world. We recognized right away that two-pronged policy was beset with great difficulties. Because of the prevailing anti-French feeling, we knew that any bolstering by us of the French position would be resented by the local people. And because of the traditional French position, and French sensitivity at seeing any increase of American influence, we knew they would look with suspicion upon the development of direct American relations with local administrations and peoples. Nevertheless, we were determined that our aid program would not be used as a means of forcing

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co-ordination upon unwilling governments, and we were equally determined that our emphasis would be on types of aid that would appeal to the masses of the population and not on aid that, while economically more sophisticated, would be less readily understood. Ours was a political program that worked with the people and it would obviously have lost most of its effectiveness if it had been reduced to a role of French-protected anonymity ... [The program was] greatly handicapped and its beneficial psychological results were largely negated because the United States at the same time was pursuing a program of [military] support to the French ... on balance, we came to be looked upon more as a supporter of colonialism than as a friend of the new nation." 44/

In 1965, Edmund Gullion, who was also very close to the Bao Dai problem, took this retrospect:

"We really should have pushed the French right after the Elysee agreements of March, 1949. We did not consider the exchange of letters carefully enough at the time. It was understandable. We obviously felt it was going to be a continuing process, and we hoped to be able to have some influence over it. But then we got involved in Korea, and since the French were in trouble in Indochina, we pulled our punches... The French could have said unequivocally, as we did with regard to the Philippines, that in such-and-such a number of years Vietnam would be totally free, and that it could thereupon join the French Union or stay out, as it desired... An evolutionary solution was the obvious one, and it should have been confronted openly and honestly without all the impossible, protracted preliminary negotiations involving efforts to bring the three Associated States together, to get them to agree among each other, and with France, separately and collectively. The French, in arguing against any kind of bilateral agreements, claimed that their attempt at federation in Indochina was like our effort to build some sort of federated system in Europe. But their involvement and interest in Indochina was obviously different, and they used the formula they devised to avoid any real agreement on Vietnam. The problem grew more complex as the military and political aspects of the situation became unavoidably tied together, and the Korean War, of course, complicated it further. From the outset, the French sought to regard the war in Korea and the war in Indochina as related parts of one big fight against Communism, but it wasn't that simple. Actually, what the Korean War did do was make it more difficult for us to urge an evolutionary settlement in Vietnam. By 1951, it may have been too late for us to do anything about this, but we could still have tried much harder than we did. The trouble was the world by then had begun to close in on us. The E.D.C. formula in Europe was being rejected by the French, just as in 1965 they were rejecting the

North Atlantic Treaty Organization concept. Our degree of leverage was being drastically reduced." 45/

Had Bao Dai been willing or capable of more effective leadership, the U.S. role in the war might not have fallen into what Edmund Gullion called the "pattern of prediction and disappointment":

"It can be timed almost to the month to coincide with the rainy season and the campaign season. Thus, in May or June, we usually get French estimates of success in the coming campaign season, based partly on an assessment of losses the Vietminh are supposed to have suffered in the preceding fall, which are typically claimed as the bright spot in an otherwise gloomy fighting season. The new set of estimates soon proves equally disappointing; by October, French Union troops are found bottled up in mountain defiles far from their bases... There are rumblings about late or lacking American aid and lack of American understanding. Some time around the first of the new year, special high-level United States-French conferences are called. We ask some questions about the military situation but only a few about the political situation. There is widespread speculation that the French may pull out of Indochina if we press them for explanations of their political and economic program. We promise the French more aid. The French make a stand: they claim great casualties inflicted on the enemy. They give us new estimates for the following campaign season -- and the round begins once more." 46/

In that bleak pattern, Bao Dai played only a passive role; the "Bao Dai solution" ultimately solved nothing. The outcome rested rather on France's military struggle with the Viet Minh, and its contest of leverage with the United States.

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